

EXHIBIT A (PART 2)

NEUBERGER BERMAN

CHARLES DICCIANNI
COMPENSATION REPORT
April-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	1,116.40
ADVANCE OF ACCTS NOT BILLED (APRIL PROD)	15,091.93
TOTAL	<u>16,208.33</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	14,152.08
RSU - C N 5	2,056.25

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount	
Diccianni, Charles	12/1/2005	\$	1,623.39
	1/1/2006	\$	1,165.13
	2/1/2006	\$	2,773.54
	3/1/2006	\$	1,558.60
	4/1/2006	\$	2,056.25
Diccianni, Charles Total		\$	9,176.91

Month End : Apr-2006

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
May-06**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	31,167.39
ADVANCE OF ACCTS NOT BILLED (APRIL PROD)	(15,091.93)
TOTAL	<u>16,075.46</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	14,039.14
RSU - C N 5	2,036.32

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount
Diccianni, Charles	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
Diccianni, Charles Total		\$ 11,213.23

Compensation Report**NEUBERGER BERMAN****PA12****Month End : May-2006**

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
	777 11007	STAMFORD FIREFIGHTERS TRUST	05/01/2003	99	10.00%	\$0.00	\$0.00	\$3,139.18	\$3,139.18	\$313.92	\$313.92
Reg Rep Total:						\$0.00	\$0.00	\$3,139.18	\$3,139.18	\$313.92	\$313.92
251 FIXED INCOME/NY											
	777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,378.54	\$1,378.54	\$137.85	\$137.85
	777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,684.96	\$1,684.96	\$168.50	\$168.50
	777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$7,150.12	\$7,150.12	\$1,430.02	\$1,430.02
	777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$13,323.96	\$13,323.96	\$999.30	\$999.30
Reg Rep Total:						\$0.00	\$0.00	\$23,537.58	\$23,537.58	\$2,735.67	\$2,735.67
288 APPEL NEW YORK											
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$27,078.32	\$27,078.32	\$2,707.83	\$2,707.83
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$73,616.40	\$73,616.40	\$7,361.64	\$7,361.64
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.24	\$341.24	\$34.12	\$34.12
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$340.74	\$340.74	\$34.07	\$34.07
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$18,419.08	\$18,419.08	\$5,525.72	\$5,525.72
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$4,729.80	\$4,729.80	\$1,418.94	\$1,418.94
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$401.30	\$401.30	\$40.13	\$40.13
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$399.06	\$399.06	\$39.91	\$39.91
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$421.36	\$421.36	\$42.14	\$42.14
Reg Rep Total:						\$0.00	\$0.00	\$125,747.30	\$125,747.30	\$17,204.50	\$17,204.50
390 STEIN MICHELLE NY											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$339.96	\$339.96	\$34.00	\$34.00
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$342.55	\$342.55	\$34.26	\$34.26

Compensation Report**NEUBERGER BERMAN****PA12****Month End : May-2006**

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 STEIN MICHELLE NY											
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$473.43	\$473.43	\$47.34	\$47.34
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$145.94	\$145.94	\$14.59	\$14.59
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$512.36	\$512.36	\$51.24	\$51.24
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$672.35	\$672.35	\$67.24	\$67.24
	777 00563	JOHN E CONELIAS	08/11/2005	01	30.00%	\$0.00	\$0.00	\$650.71	\$650.71	\$195.21	\$195.21
	777 00580	JOHN BLATZ	09/07/2005	01	30.00%	\$0.00	\$0.00	\$662.24	\$662.24	\$198.67	\$198.67
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$661.12	\$661.12	\$198.34	\$198.34
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$1,165.42	\$1,165.42	\$349.63	\$349.63
	777 00646	MASTER PEN PLAN FOR SALARIED		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$750.00	\$750.00
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$407.20	\$407.20	\$40.72	\$40.72
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$631.18	\$631.18	\$63.12	\$63.12
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$451.81	\$451.81	\$45.18	\$45.18
Reg Rep Total:						\$0.00	\$0.00	\$7,116.27	\$7,116.27	\$2,089.54	\$2,089.54
853 KAMEN NEW YORK											
	777 11007	STAMFORD FIREFIGHTERS TRUST	05/01/2003	99	10.00%	\$0.00	\$0.00	\$9,417.55	\$9,417.55	\$941.76	\$941.76
Reg Rep Total:						\$0.00	\$0.00	\$9,417.55	\$9,417.55	\$941.76	\$941.76
881 M KAMINSKY /NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	01	30.00%	\$0.00	\$0.00	\$3,418.70	\$3,418.70	\$1,025.61	\$1,025.61
Reg Rep Total:						\$0.00	\$0.00	\$3,418.70	\$3,418.70	\$1,025.61	\$1,025.61
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$35,794.20	\$35,794.20	\$3,579.42	\$3,579.42

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2

Filed 11/29/11

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Exhibit

Exhibit A (part 2) to Diccianni Affidavit Pg 9 of 55

Month End : May-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$8,695.14	\$8,695.14	\$2,608.54	\$2,608.54
Reg Rep Total:											
						\$0.00	\$0.00	\$44,489.34	\$44,489.34	\$6,187.96	\$6,187.96
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$791.26	\$791.26	\$79.13	\$79.13
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$5,893.02	\$5,893.02	\$589.30	\$589.30
Reg Rep Total:											
						\$0.00	\$0.00	\$6,684.28	\$6,684.28	\$668.43	\$668.43
Marketer Total:											
						\$0.00	\$0.00	\$223,550.20	\$223,550.20	\$31,167.39	\$31,167.39

NEUBERGER BERMAN

CHARLES DICCIANNI
COMPENSATION REPORT
June-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION 18,780.10

TOTAL 18,780.10

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B 16,963.09
RSU - C N 5 1,817.01

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount
Diccianni, Charles	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
	6/1/2006	\$ 1,817.01
Diccianni, Charles Total		\$ 13,030.24

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 12 of 55Month End : Jun-2006

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Fee	Total PO Comm	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES									
223 SCHWARTZ NEW YORK									
777 11007	STAMFORD FIREFIGHTERS TRUST		05/01/2003	99	10.00%	\$0.00	\$6,278.37	\$627.84	\$627.84
Reg Rep Total:						\$0.00	\$6,278.37	\$627.84	\$627.84
251 FIXED INCOME/NY									
777 00417	MERIDEN CITY EMPLOYEES		02/28/2005	99	10.00%	\$0.00	\$689.27	\$68.93	\$68.93
777 00418	MERIDEN POLICE & FIRE		02/28/2005	99	10.00%	\$0.00	\$842.48	\$84.25	\$84.25
777 00685	MILFORD HOSPITAL PENSION PLAN		01/25/2006	01	20.00%	\$0.00	\$3,575.06	\$715.01	\$715.01
777 11009	STAMFORD FIREFIGHTERS PENSIO		05/01/2003	99	7.50%	\$0.00	\$6,661.98	\$499.65	\$499.65
Reg Rep Total:						\$0.00	\$11,768.79	\$1,367.84	\$1,367.84
288 APPEL NEW YORK									
550 01688	MILFORD HOSPITAL MID CAP		01/01/2006	01	30.00%	\$0.00	\$6,363.57	\$1,909.07	\$1,909.07
777 00008	CITY OF MILFORD PENSION FUND		07/01/2004	99	10.00%	\$0.00	\$13,539.16	\$1,353.92	\$1,353.92
777 00224	CITY OF BRISTOL MUNICIPAL EMPL		07/27/2004	99	10.00%	\$0.00	\$36,808.20	\$3,680.82	\$3,680.82
777 00365	JBC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$341.24	\$34.12	\$34.12
777 00366	CSC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$340.74	\$34.07	\$34.07
777 00680	MILFORD HOSPITAL PENSION PLAN		01/31/2006	01	30.00%	\$0.00	\$9,209.54	\$2,762.86	\$2,762.86
777 00682	MILFORD HOSPITAL PENSION PLAN		02/03/2006	01	30.00%	\$0.00	\$2,364.90	\$709.47	\$709.47
777 20014	F/B/O STEPHEN P CHERNOCK JR		02/06/2004	99	10.00%	\$0.00	\$401.30	\$40.13	\$40.13
777 20017	PAUL M GAGLIARDI REVOC TRUST		02/12/2004	99	10.00%	\$0.00	\$399.06	\$39.91	\$39.91
777 53485	FRANK R MATTHEWS		10/03/2003	99	10.00%	\$0.00	\$421.36	\$42.14	\$42.14
Reg Rep Total:						\$0.00	\$70,189.07	\$10,606.51	\$10,606.51
390 STEIN MICHELLE NY									
777 00362	JBC ASSOCIATES LIMITED		01/20/2005	99	10.00%	\$0.00	\$339.96	\$34.00	\$34.00

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 13 of 55Month End : Jun-2006

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 11007		STAMFORD FIREFIGHTERS TRUST	05/01/2003	99	10.00%	\$0.00	\$0.00	\$6,278.37	\$6,278.37	\$627.84	\$627.84
Reg Rep Total:						\$0.00	\$0.00	\$6,278.37	\$6,278.37	\$627.84	\$627.84
251 FIXED INCOME/NY											
777 00417		MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$689.27	\$689.27	\$68.93	\$68.93
777 00418		MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$842.48	\$842.48	\$84.25	\$84.25
777 00685		MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,575.06	\$3,575.06	\$715.01	\$715.01
777 11009		STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,661.98	\$6,661.98	\$499.65	\$499.65
Reg Rep Total:						\$0.00	\$0.00	\$11,768.79	\$11,768.79	\$1,367.84	\$1,367.84
288 APPEL NEW YORK											
550 01688		MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$6,363.57	\$6,363.57	\$1,909.07	\$1,909.07
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,539.16	\$13,539.16	\$1,353.92	\$1,353.92
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,808.20	\$36,808.20	\$3,680.82	\$3,680.82
777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.24	\$341.24	\$34.12	\$34.12
777 00366		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$340.74	\$340.74	\$34.07	\$34.07
777 00680		MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$9,209.54	\$9,209.54	\$2,762.86	\$2,762.86
777 00682		MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,364.90	\$2,364.90	\$709.47	\$709.47
777 20014		F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$401.30	\$401.30	\$40.13	\$40.13
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$399.06	\$399.06	\$39.91	\$39.91
777 53485		FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$421.36	\$421.36	\$42.14	\$42.14
Reg Rep Total:						\$0.00	\$0.00	\$70,189.07	\$70,189.07	\$10,606.51	\$10,606.51
390 STEIN MICHELLE NY											
777 00362		JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$339.96	\$339.96	\$34.00	\$34.00

Compensation Report

NEUBERGER BERMAN

PA12

Month End : Jun-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 STEIN MICHELLE NY											
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$342.55	\$342.55	\$34.26	\$34.26
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$473.43	\$473.43	\$47.34	\$47.34
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$145.94	\$145.94	\$14.59	\$14.59
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$512.36	\$512.36	\$51.24	\$51.24
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$672.35	\$672.35	\$67.24	\$67.24
	777 00563	JOHN E CONELIAS	08/11/2005	01	30.00%	\$0.00	\$0.00	\$650.71	\$650.71	\$195.21	\$195.21
	777 00580	JOHN BLATZ	09/07/2005	01	30.00%	\$0.00	\$0.00	\$662.24	\$662.24	\$198.67	\$198.67
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$330.56	\$330.56	\$99.17	\$99.17
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$582.71	\$582.71	\$174.81	\$174.81
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$407.20	\$407.20	\$40.72	\$40.72
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$631.18	\$631.18	\$63.12	\$63.12
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$451.81	\$451.81	\$45.18	\$45.18
881	M KAMINSKY /NY				Reg Rep Total:	\$0.00	\$0.00	\$6,203.00	\$6,203.00	\$1,065.55	\$1,065.55
	521 01163	F/B/O EDDIE SITT	08/29/2005	01	30.00%	\$0.00	\$0.00	\$1,709.35	\$1,709.35	\$512.80	\$512.80
A07	ROBERT J. APPEL-NAT				Reg Rep Total:	\$0.00	\$0.00	\$1,709.35	\$1,709.35	\$512.80	\$512.80
	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$780.91	\$780.91	\$78.09	\$78.09
B73	INTERNATIONAL EQUITY / NEW YOR				Reg Rep Total:	\$0.00	\$0.00	\$780.91	\$780.91	\$78.09	\$78.09
	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$10,932.80	\$10,932.80	\$1,093.28	\$1,093.28
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,897.10	\$17,897.10	\$1,789.71	\$1,789.71

Compensation Report**NEUBERGER BERMAN****PA12****Month End : Jun-2006**

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,347.57	\$4,347.57	\$1,304.27	\$1,304.27
Reg Rep Total:						\$0.00	\$0.00	\$33,177.47	\$33,177.47	\$4,187.26	\$4,187.26
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$395.63	\$395.63	\$39.56	\$39.56
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,946.51	\$2,946.51	\$294.65	\$294.65
Reg Rep Total:						\$0.00	\$0.00	\$3,342.14	\$3,342.14	\$334.21	\$334.21
Marketer Total:						\$0.00	\$0.00	\$133,449.10	\$133,449.10	\$18,780.10	\$18,780.10

NEUBERGER BERMAN

CHARLES DICCIANNI
COMPENSATION REPORT
July-06

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION 15,499.96

TOTAL

15,499.96

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B
RSU - C N 5

14,174.97
1,324.99

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount
Diccianni, Charles	12/1/2005	\$ 1,623.39
	1/1/2006	\$ 1,165.13
	2/1/2006	\$ 2,773.54
	3/1/2006	\$ 1,558.60
	4/1/2006	\$ 2,056.25
	5/1/2006	\$ 2,036.32
	6/1/2006	\$ 1,817.01
	7/1/2006	\$ 1,324.99
Diccianni, Charles Total		\$ 14,355.23

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53
Exhibit A (part 2) to Diccianni Affidavit Pg 18 of 55

Exhibit

Month End : Jul-2006

Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
251 FIXED INCOME/NY										
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23	\$84.23
777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09	\$715.09
Reg Rep Total:					\$0.00	\$0.00	\$5,106.56	\$5,106.56	\$868.21	\$868.21
288 APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,079.94	\$2,079.94	\$623.98	\$623.98
555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$64.89	\$64.89	\$19.47	\$19.47
555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04	\$74.04
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73	\$1,321.73
777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95	\$3,611.95
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20	\$34.20
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15	\$34.15
777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52	\$2,662.52
777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95	\$694.95
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59	\$39.59
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$395.59	\$395.59	\$39.56	\$39.56
777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$411.54	\$411.54	\$41.15	\$41.15
Reg Rep Total:					\$0.00	\$0.00	\$64,806.41	\$64,806.41	\$9,197.29	\$9,197.29
390 STEIN MICHELLE NY										
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$342.20	\$342.20	\$34.22	\$34.22
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$345.43	\$345.43	\$34.54	\$34.54
777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$474.33	\$474.33	\$47.43	\$47.43
777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$142.43	\$142.43	\$14.24	\$14.24

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 19 of 55Month End : Jul-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 STEIN MICHELLE NY											
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$486.22	\$486.22	\$48.62	\$48.62
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$675.19	\$675.19	\$67.52	\$67.52
	777 00563	JOHN E CONELIAS	08/11/2005	01	30.00%	\$0.00	\$0.00	\$652.77	\$652.77	\$195.83	\$195.83
	777 00580	JOHN BLATZ	09/07/2005	01	30.00%	\$0.00	\$0.00	\$662.13	\$662.13	\$198.64	\$198.64
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$332.17	\$332.17	\$99.65	\$99.65
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$905.94	\$905.94	\$271.78	\$271.78
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$411.64	\$411.64	\$41.16	\$41.16
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$636.55	\$636.55	\$63.66	\$63.66
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$455.69	\$455.69	\$45.57	\$45.57
		Reg Rep Total:				\$0.00	\$0.00	\$6,522.69	\$6,522.69	\$1,162.86	\$1,162.86
831 BOLTON NEW YORK											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$177.17	\$177.17	\$53.15	\$53.15
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$678.52	\$678.52	\$203.56	\$203.56
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$105.74	\$105.74	\$31.72	\$31.72
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$405.35	\$405.35	\$121.60	\$121.60
	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	01	30.00%	\$0.00	\$0.00	\$34.99	\$34.99	\$10.50	\$10.50
	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	01	30.00%	\$0.00	\$0.00	\$134.47	\$134.47	\$40.34	\$40.34
		Reg Rep Total:				\$0.00	\$0.00	\$1,536.24	\$1,536.24	\$460.87	\$460.87
B73 INTERNATIONAL EQUITY / NEW YOR											
	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$3,910.20	\$3,910.20	\$391.02	\$391.02
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,873.68	\$17,873.68	\$1,787.37	\$1,787.37

Compensation Report**PA12****NEUBERGER BERMAN****Month End : Jul-2006**

Marketer Reg Name	Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59	\$1,300.59
Reg Rep Total:						\$0.00	\$0.00	\$26,119.18	\$26,119.18	\$3,478.98	\$3,478.98
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48	\$292.48
Reg Rep Total:						\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75	\$331.75
Marketer Total:						\$0.00	\$0.00	\$107,408.53	\$107,408.53	\$15,499.96	\$15,499.96

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
August-06**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION 27,229.87

TOTAL 27,229.87

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B 24,145.39
RSU - C N 5 3,084.48

YTD RSU STATEMENT

Employee Name	Production Month	RSU Amount	
Diccianni, Charles	12/1/2005	\$	1,623.39
	1/1/2006	\$	1,165.13
	2/1/2006	\$	2,773.54
	3/1/2006	\$	1,558.60
	4/1/2006	\$	2,056.25
	5/1/2006	\$	2,036.32
	6/1/2006	\$	1,817.01
	7/1/2006	\$	1,324.99
	8/1/2006	\$	3,084.48
Diccianni, Charles Total		\$	17,439.71

Compensation Report

NEUBERGER BERMAN

Month End : Aug-2006

08-13555-mg

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Exhibit A (part 2) to Diccianni Affidavit Pg 23 of 55

Exhibit

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
	✓ 777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$31,665.20	\$31,665.20	\$3,166.52	\$3,166.52
	✓ 777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$21,776.60	\$21,776.60	\$6,532.98	\$6,532.98
		Reg Rep Total:				\$0.00	\$0.00	\$53,441.80	\$53,441.80	\$9,699.50	\$9,699.50
251 FIXED INCOME/NY											
	✓ 777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89
	✓ 777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23	\$84.23
	✓ 777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09	\$715.09
	✓ 777 00924	MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$81.47	\$81.47
	✓ 777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$13,188.14	\$13,188.14	\$989.11	\$989.11
		Reg Rep Total:				\$0.00	\$0.00	\$18,702.04	\$18,702.04	\$1,938.79	\$1,938.79
288 APPEL NEW YORK											
	✓ 550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,079.94	\$2,079.94	\$623.98	\$623.98
	✓ 555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04	\$74.04
	✓ 777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73	\$1,321.73
	✓ 777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95	\$3,611.95
	✓ 777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20	\$34.20
	✓ 777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15	\$34.15
	✓ 777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52	\$2,662.52
	✓ 777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95	\$694.95
	✓ 777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$282.24	\$282.24	\$84.67	\$84.67
	✓ 777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$122.20	\$122.20
	✓ 777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,222.01	\$1,222.01	\$366.60	\$366.60
	✓ 777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59	\$39.59

Compensation Report**PA12****NEUBERGER BERMAN****Month End : Aug-2006**

Marketer Name	Reg Customer Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
288 APPEL NEW YORK										
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$395.59	\$395.59	\$39.56	\$39.56
777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$411.54	\$411.54	\$41.15	\$41.15
Reg Rep Total:					\$0.00	\$0.00	\$66,653.11	\$66,653.11	\$9,751.29	\$9,751.29
390 STEIN MICHELLE NY										
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$342.20	\$342.20	\$34.22	\$34.22
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$345.43	\$345.43	\$34.54	\$34.54
777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$474.33	\$474.33	\$47.43	\$47.43
777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$142.43	\$142.43	\$14.24	\$14.24
777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$486.22	\$486.22	\$48.62	\$48.62
777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$675.19	\$675.19	\$67.52	\$67.52
777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$652.77	\$652.77	\$65.28	\$65.28
777 00580	JOHN BLATZ	09/07/2005	01	30.00%	\$0.00	\$0.00	\$662.13	\$662.13	\$198.64	\$198.64
777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$332.17	\$332.17	\$99.65	\$99.65
777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$905.94	\$905.94	\$271.78	\$271.78
777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$411.64	\$411.64	\$41.16	\$41.16
777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$636.55	\$636.55	\$63.66	\$63.66
777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$455.69	\$455.69	\$45.57	\$45.57
Reg Rep Total:					\$0.00	\$0.00	\$6,522.69	\$6,522.69	\$1,032.31	\$1,032.31
831 BOLTON NEW YORK										
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$361.88	\$361.88	\$108.56	\$108.56
550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$216.19	\$216.19	\$64.86	\$64.86

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2

Filed 11/29/11

Entered 11/29/11

11:27:53

Exhibit

Exhibit A (part 2) to Diccianni Affidavit Pg 25 of 55

Month End : Aug-2006

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
831 BOLTON NEW YORK											
550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$71.72	\$71.72	\$21.52	\$21.52
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$649.79	\$649.79	\$194.94	\$194.94
881 M KAMINSKY /NY											
521 01163	F/B/O EDDIE SITT	08/29/2005	01	30.00%	\$0.00	\$0.00	\$0.00	\$3,409.24	\$3,409.24	\$1,022.77	\$1,022.77
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$3,409.24	\$3,409.24	\$1,022.77	\$1,022.77
A12 JEFFREY BOLTON											
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$316.64	\$316.64	\$94.99	\$94.99
550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$189.16	\$189.16	\$56.75	\$56.75
550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$62.75	\$62.75	\$18.82	\$18.82
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$568.55	\$568.55	\$170.56	\$170.56
B73 INTERNATIONAL EQUITY / NEW YORK											
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$17,873.68	\$17,873.68	\$1,787.37	\$1,787.37
777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59	\$1,300.59
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$22,208.98	\$22,208.98	\$3,087.96	\$3,087.96
I93 PAMAS MUTUAL FUNDS / NEW YORK											
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48	\$292.48
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75	\$331.75
Marketer Total:					\$0.00	\$0.00	\$0.00	\$175,473.65	\$175,473.65	\$27,229.87	\$27,229.87

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
September-06**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION **22,570.18**

TOTAL

22,570.18

EARNINGS CODE/RSU BREAKDOWN:

**CASH - C N B
RSU - C N 5**

**20,184.65
2,385.53**

Compensation Report**NEUBERGER BERMAN****Month End : Sep-2006**

08-13555-mg

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Exhibit A (part 2) to Diccianni Affidavit Pg 27 of 55

Exhibit

Marketer Name	Reg Customer Account Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 00874	CITY OF STAMFORD FIREMEN		05/01/2003	99	10.00%	\$0.00	\$0.00	\$15,832.60	\$15,832.60	\$1,583.26	\$1,583.26
777 00898	CITY OF BRISTOL EMPLOYEE		07/01/2006	01	30.00%	\$0.00	\$0.00	\$10,888.30	\$10,888.30	\$3,266.49	\$3,266.49
Reg Rep Total:						\$0.00	\$0.00	\$26,720.90	\$26,720.90	\$4,849.75	\$4,849.75
251 FIXED INCOME/NY											
777 00417	MERIDEN CITY EMPLOYEES		02/28/2005	99	10.00%	\$0.00	\$0.00	\$688.88	\$688.88	\$68.89	\$68.89
777 00418	MERIDEN POLICE & FIRE		02/28/2005	99	10.00%	\$0.00	\$0.00	\$842.25	\$842.25	\$84.23	\$84.23
777 00685	MILFORD HOSPITAL PENSION PLAN		01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,575.43	\$3,575.43	\$715.09	\$715.09
777 00924	MILFORD BANK PENSION PLAN		08/01/2006	01	20.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$81.47	\$81.47
777 11009	STAMFORD FIREFIGHTERS PENSIO		05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,594.07	\$6,594.07	\$494.56	\$494.56
Reg Rep Total:						\$0.00	\$0.00	\$12,107.97	\$12,107.97	\$1,444.24	\$1,444.24
288 APPEL NEW YORK											
550 01688	MILFORD HOSPITAL MID CAP		01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,079.94	\$2,079.94	\$623.98	\$623.98
555 10968	EDWARD SAAD AND		06/23/2006	01	30.00%	\$0.00	\$0.00	\$246.80	\$246.80	\$74.04	\$74.04
777 00008	CITY OF MILFORD PENSION FUND		07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,217.29	\$13,217.29	\$1,321.73	\$1,321.73
777 00224	CITY OF BRISTOL MUNICIPAL EMPL		07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,119.51	\$36,119.51	\$3,611.95	\$3,611.95
777 00365	JBC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.97	\$341.97	\$34.20	\$34.20
777 00366	CSC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$0.00	\$341.46	\$341.46	\$34.15	\$34.15
777 00680	MILFORD HOSPITAL PENSION PLAN		01/31/2006	01	30.00%	\$0.00	\$0.00	\$8,875.07	\$8,875.07	\$2,662.52	\$2,662.52
777 00682	MILFORD HOSPITAL PENSION PLAN		02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,316.49	\$2,316.49	\$694.95	\$694.95
777 00923	MILFORD BANK PENSION PLAN		08/21/2006	01	30.00%	\$0.00	\$0.00	\$846.71	\$846.71	\$254.01	\$254.01
777 00925	MILFORD BANK PENSION PLAN		08/01/2006	01	30.00%	\$0.00	\$0.00	\$407.34	\$407.34	\$122.20	\$122.20
777 00926	MILFORD BANK PENSION PLAN		08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,222.01	\$1,222.01	\$366.60	\$366.60
777 20014	F/B/O STEPHEN P CHERNOCK JR		02/06/2004	99	10.00%	\$0.00	\$0.00	\$395.86	\$395.86	\$39.59	\$39.59

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 28 of 55Month End : Sep-2006

Marketer Name	Reg Customer Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
288	APPEL NEW YORK									
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$395.59	\$395.59	\$39.56	\$39.56
777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$411.54	\$411.54	\$41.15	\$41.15
					Reg Rep Total:	\$0.00	\$67,217.58	\$67,217.58	\$9,920.63	\$9,920.63
390	STEIN MICHELLE NY									
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$342.20	\$342.20	\$34.22	\$34.22
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$345.43	\$345.43	\$34.54	\$34.54
777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$474.33	\$474.33	\$47.43	\$47.43
777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$142.43	\$142.43	\$14.24	\$14.24
777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$486.22	\$486.22	\$48.62	\$48.62
777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$675.19	\$675.19	\$67.52	\$67.52
777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$652.77	\$652.77	\$65.28	\$65.28
777 00563	JOHN E CONELIAS		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$1,500.00	\$1,500.00
777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$662.13	\$662.13	\$66.21	\$66.21
777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$332.17	\$332.17	\$99.65	\$99.65
777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$905.94	\$905.94	\$271.78	\$271.78
777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$411.64	\$411.64	\$41.16	\$41.16
777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$636.55	\$636.55	\$63.66	\$63.66
777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$455.69	\$455.69	\$45.57	\$45.57
					Reg Rep Total:	\$0.00	\$6,522.69	\$6,522.69	\$2,399.88	\$2,399.88
881	M KAMINSKY /NY									
521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	(\$3,409.24)	(\$3,409.24)	(\$340.92)	(\$340.92)

Compensation Report

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Exhibit A (part 2) to Diccianni Affidavit Pg 29 of 55

Exhibit

Month End : Sep-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
881 M KAMINSKY / NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$5,113.86	\$5,113.86	\$511.39	\$511.39
Reg Rep Total:						\$0.00	\$0.00	\$1,704.62	\$1,704.62	\$170.47	\$170.47
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$678.52	\$678.52	\$203.56	\$203.56
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$405.35	\$405.35	\$121.60	\$121.60
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$134.47	\$134.47	\$40.34	\$40.34
Reg Rep Total:						\$0.00	\$0.00	\$1,218.34	\$1,218.34	\$365.50	\$365.50
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,873.68	\$17,873.68	\$1,787.37	\$1,787.37
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,335.30	\$4,335.30	\$1,300.59	\$1,300.59
Reg Rep Total:						\$0.00	\$0.00	\$22,208.98	\$22,208.98	\$3,087.96	\$3,087.96
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$392.70	\$392.70	\$39.27	\$39.27
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,924.75	\$2,924.75	\$292.48	\$292.48
Reg Rep Total:						\$0.00	\$0.00	\$3,317.45	\$3,317.45	\$331.75	\$331.75
Marketer Total:						\$0.00	\$0.00	\$141,018.53	\$141,018.53	\$22,570.18	\$22,570.18

NEUBERGER BERMAN

CHARLES DICCIANNI
COMPENSATION REPORT
October-06

PAYOUT COMPUTATION

21,414.87

TOTAL PRODUCTION COMPENSATION

TOTAL

21,414.87

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B
RSU - C N 5

19,202.64
2,212.23

Employee Name	Prod Month	RSU Amount
Diccianni, Charles	12/1/2005	1,623.39
	1/1/2006	1,165.13
	2/1/2006	2,773.54
	3/1/2006	1,558.60
	4/1/2006	2,056.25
	5/1/2006	2,036.32
	6/1/2006	1,817.01
	7/1/2006	1,324.99
	8/1/2006	3,084.48
	9/1/2006	2,385.53
	10/1/2006	2,212.23
Diccianni, Charles Total		22,037.47

Compensation Report

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Exhibit A (part 2) to Diccianni Affidavit Pg 32 of 55

Exhibit

Month End : Oct-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
	777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76
	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	\$3,317.62
		Reg Rep Total:				\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$4,883.38	\$4,883.38
251 FIXED INCOME/NY											
	777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99
	777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77
	777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96
	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83
	777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	\$506.69
		Reg Rep Total:				\$0.00	\$0.00	\$12,447.32	\$12,447.32	\$1,487.24	\$1,487.24
288 APPEL NEW YORK											
	550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02
	555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05
	777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19
	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86	\$39.86

Compensation Report

NEUBERGER BERMAN

08-13555-mg

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Exhibit A (part 2) to Diccianni Affidavit Pg 33 of 55**Month End : Oct-2006**

Marketer Name	Reg Customer Account Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
777 20017	PAUL M GAGLIARDI	REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90	\$39.90
777 53485	FRANK R MATTHEWS		10/03/2003	99	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	\$42.40
Reg Rep Total:						\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19
390 STEIN MICHELLE NY											
777 00362	JBC ASSOCIATES	LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96
777 00367	CSC ASSOCIATES	LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12	\$35.12
777 00414	CATHRYN M HOLLINGER		02/28/2005	99	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60	\$48.60
777 00445	BARBARA R GOODMAN	MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47	\$14.47
777 00446	BARBARA R GOODMAN	CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29	\$49.29
777 00470	AWA FUND		04/19/2005	99	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	\$68.99
777 00563	JOHN E CONELIAS		08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93	\$129.93
777 00580	JOHN BLATZ		09/07/2005	99	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00	\$68.00
777 00645	MASTER PEN PLAN FOR	HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$102.36	\$102.36
777 00646	MASTER PEN PLAN FOR	SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$278.87	\$278.87
777 20015	F/B/O STEPHEN P	CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21
777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15
777 53486	FRANK R MATTHEWS		10/02/2003	99	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69
Reg Rep Total:						\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$984.64	\$984.64
881 M KAMINSKY /NY											
521 01163	F/B/O EDDIE SITT		08/29/2005	99	10.00%	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92
Reg Rep Total:						\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92

Compensation Report

NEUBERGER BERMAN

08-13555-mg

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Exhibit A (part 2) to Diccianni Affidavit Pg 34 of 55

Exhibit

Month End : Oct-2006

Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
A12 JEFFREY BOLTON										
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$790.71	\$790.71	\$237.21	\$237.21
550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$472.78	\$472.78	\$141.83	\$141.83
550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	01	30.00%	\$0.00	\$0.00	\$156.32	\$156.32	\$46.90	\$46.90
Reg Rep Total:					\$0.00	\$0.00	\$1,419.81	\$1,419.81	\$425.94	\$425.94
B73 INTERNATIONAL EQUITY / NEW YOR										
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,960.19	\$17,960.19	\$1,796.02	\$1,796.02
777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,432.81	\$4,432.81	\$1,329.84	\$1,329.84
Reg Rep Total:					\$0.00	\$0.00	\$22,393.00	\$22,393.00	\$3,125.86	\$3,125.86
I93 PAMAS MUTUAL FUNDS / NEW YOR										
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$400.38	\$400.38	\$40.04	\$40.04
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,826.64	\$2,826.64	\$282.66	\$282.66
Reg Rep Total:					\$0.00	\$0.00	\$3,227.02	\$3,227.02	\$322.70	\$322.70
Marketer Total:					\$0.00	\$0.00	\$142,283.37	\$142,283.37	\$21,414.87	\$21,414.87

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2005	1,623.39
	1/1/2006	1,165.13
	2/1/2006	2,773.54
	3/1/2006	1,558.60
	4/1/2006	2,056.25
	5/1/2006	2,036.32
	6/1/2006	1,817.01
	7/1/2006	1,324.99
	8/1/2006	3,084.48
	9/1/2006	2,385.53
	10/1/2006	2,212.23
	11/1/2006	2,774.73
Diccianni, Charles Total		24,812.20

Compensation Report

NEUBERGER BERMAN

08-13555-mg

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Exhibit A (part 2) to Diccianni Affidavit Pg 36 of 55**Month End : Nov-2006**

Marketer Reg Name Rep Account	Customer Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
223 SCHWARTZ NEW YORK										
777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	\$3,317.62
777 00898	CITY OF BRISTOL EMPLOYEE		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$3,750.00	\$3,750.00
Reg Rep Total:					\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$8,633.38	\$8,633.38
251 FIXED INCOME/NY										
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77
777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96
777 00924	MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83
777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	\$506.69
Reg Rep Total:					\$0.00	\$0.00	\$12,447.32	\$12,447.32	\$1,487.24	\$1,487.24
288 APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02
555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76
777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18
777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44
777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74
777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2
Exhibit A (part 2)Filed 11/29/11
to Diccianni AffidavitEntered 11/29/11
Pg 37 of 55

Exhibit

Month End : Nov-2006

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86	\$39.86
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90	\$39.90
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	\$42.40
	Reg Rep Total:					\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19
390 STEIN MICHELLE NY											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12	\$35.12
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60	\$48.60
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47	\$14.47
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29	\$49.29
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	\$68.99
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93	\$129.93
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00	\$68.00
	777 00845	MASTER PEN PLAN FOR HOURLY	11/22/2005	01	30.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$102.36	\$102.36
	777 00846	MASTER PEN PLAN FOR SALARIED	11/22/2005	01	30.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$278.87	\$278.87
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69
	Reg Rep Total:					\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$984.64	\$984.64
881 M KAMINSKY /NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92
	Reg Rep Total:					\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53
Exhibit A (part 2) to Diccianni Affidavit Pg 38 of 55

Exhibit

Month End : Nov-2006

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$790.71	\$790.71	\$237.21	\$237.21
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$472.78	\$472.78	\$141.83	\$141.83
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$156.32	\$156.32	\$46.90	\$46.90
	Reg Rep Total:										\$425.94
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,960.19	\$17,960.19	\$1,796.02	\$1,796.02
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,432.81	\$4,432.81	\$1,329.84	\$1,329.84
	Reg Rep Total:										\$3,125.86
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$400.38	\$400.38	\$40.04	\$40.04
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,826.64	\$2,826.64	\$282.66	\$282.66
	Reg Rep Total:										\$322.70
	Marketer Total:										\$25,164.87

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
December-06**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION 22,095.68

TOTAL

22,095.68

EARNINGS CODE/RSU BREAKDOWN:

**CASH - C N B
RSU - C N 5**

19,434.18
2,661.50

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
Diccianni, Charles Total		2,661.50

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 2) to Diccianni Affidavit Pg 41 of 55

Month End : Dec-2006

Marketer Reg Name	Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 00874		CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$15,657.57	\$15,657.57	\$1,565.76	\$1,565.76
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$11,058.74	\$11,058.74	\$3,317.62	\$3,317.62
Reg Rep Total:						\$0.00	\$0.00	\$26,716.31	\$26,716.31	\$4,883.38	\$4,883.38
251 FIXED INCOME/NY											
551 13224		SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,699.63	\$1,699.63	\$169.96	\$169.96
777 00417		MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$709.86	\$709.86	\$70.99	\$70.99
777 00417		MERIDEN CITY EMPLOYEES		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$300.00	\$300.00
777 00418		MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$867.66	\$867.66	\$86.77	\$86.77
777 00418		MERIDEN POLICE & FIRE		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$465.00	\$465.00
777 00685		MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$3,684.82	\$3,684.82	\$736.96	\$736.96
777 00924		MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$429.13	\$429.13	\$85.83	\$85.83
777 11009		STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,755.85	\$6,755.85	\$506.69	\$506.69
Reg Rep Total:						\$0.00	\$0.00	\$14,146.95	\$14,146.95	\$2,422.20	\$2,422.20
288 APPEL NEW YORK											
550 01688		MILFORD HOSPITAL MID CAP	01/01/2006	01	30.00%	\$0.00	\$0.00	\$2,113.40	\$2,113.40	\$634.02	\$634.02
555 10968		EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$259.20	\$259.20	\$77.76	\$77.76
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$13,291.76	\$13,291.76	\$1,329.18	\$1,329.18
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$36,545.21	\$36,545.21	\$3,654.52	\$3,654.52
777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.86	\$344.86	\$34.49	\$34.49
777 00366		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$344.36	\$344.36	\$34.44	\$34.44
777 00680		MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$9,239.14	\$9,239.14	\$2,771.74	\$2,771.74
777 00682		MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$2,363.51	\$2,363.51	\$709.05	\$709.05
777 00923		MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$847.79	\$847.79	\$254.34	\$254.34

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 42 of 55Month End : Dec-2006

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$427.29	\$427.29	\$128.19	\$128.19
	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,287.66	\$1,287.66	\$386.30	\$386.30
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$398.60	\$398.60	\$39.86	\$39.86
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$399.01	\$399.01	\$39.90	\$39.90
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$423.95	\$423.95	\$42.40	\$42.40
	Reg Rep Total:					\$0.00	\$0.00	\$68,285.74	\$68,285.74	\$10,136.19	\$10,136.19
390 STEIN MICHELLE NY											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$349.57	\$349.57	\$34.96	\$34.96
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$351.15	\$351.15	\$35.12	\$35.12
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$486.04	\$486.04	\$48.60	\$48.60
	777 00445	BARBARA R GOODMAN MARITAL TR	04/05/2005	99	10.00%	\$0.00	\$0.00	\$144.74	\$144.74	\$14.47	\$14.47
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$492.85	\$492.85	\$49.29	\$49.29
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$689.94	\$689.94	\$68.99	\$68.99
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,299.33	\$1,299.33	\$129.93	\$129.93
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$680.02	\$680.02	\$68.00	\$68.00
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$341.21	\$341.21	\$34.12	\$34.12
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$929.56	\$929.56	\$92.96	\$92.96
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$422.11	\$422.11	\$42.21	\$42.21
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$651.50	\$651.50	\$65.15	\$65.15
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$466.93	\$466.93	\$46.69	\$46.69
	Reg Rep Total:					\$0.00	\$0.00	\$7,304.95	\$7,304.95	\$730.49	\$730.49

Compensation Report

NEUBERGER BERMAN

Month End : Dec-2006

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
881 M KAMINSKY / NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92
Reg Rep Total:						\$0.00	\$0.00	\$489.22	\$489.22	\$48.92	\$48.92
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$790.71	\$790.71	\$237.21	\$237.21
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$472.78	\$472.78	\$141.83	\$141.83
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$156.32	\$156.32	\$46.90	\$46.90
Reg Rep Total:						\$0.00	\$0.00	\$1,419.81	\$1,419.81	\$425.94	\$425.94
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,960.19	\$17,960.19	\$1,796.02	\$1,796.02
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$4,432.81	\$4,432.81	\$1,329.84	\$1,329.84
Reg Rep Total:						\$0.00	\$0.00	\$22,393.00	\$22,393.00	\$3,125.86	\$3,125.86
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$400.38	\$400.38	\$40.04	\$40.04
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,826.64	\$2,826.64	\$282.66	\$282.66
Reg Rep Total:						\$0.00	\$0.00	\$3,227.02	\$3,227.02	\$322.70	\$322.70
Marketer Total:						\$0.00	\$0.00	\$143,983.00	\$143,983.00	\$22,095.68	\$22,095.68

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
January-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION 19,935.60

TOTAL 19,935.60

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B 19,084.21
RSU - C N 5 851.39

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
Diccianni, Charles Total		3,512.89

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit
Exhibit A (part 2) to Diccianni Affidavit Pg 46 of 55Month End : Jan-2007

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
	777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$13,341.15	\$13,341.15	\$1,334.12	\$1,334.12
	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$11,845.86	\$11,845.86	\$3,553.76	\$3,553.76
	Reg Rep Total:										\$4,887.88
251 FIXED INCOME/NY											
	551 13224	SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,367.22	\$1,367.22	\$136.72	\$136.72
	777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$933.62	\$933.62	\$93.36	\$93.36
	777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,011.38	\$1,011.38	\$101.14	\$101.14
	777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	20.00%	\$0.00	\$0.00	\$2,986.30	\$2,986.30	\$597.26	\$597.26
	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$345.86	\$345.86	\$69.17	\$69.17
	777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$5,451.10	\$5,451.10	\$408.83	\$408.83
	Reg Rep Total:										\$1,406.48
288 APPEL NEW YORK											
	550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$1,747.46	\$1,747.46	\$174.75	\$174.75
	555 10968	EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$216.74	\$216.74	\$65.02	\$65.02
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$6,843.82	\$6,843.82	\$684.38	\$684.38
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$29,455.68	\$29,455.68	\$2,945.57	\$2,945.57
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$296.13	\$296.13	\$29.61	\$29.61
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$295.73	\$295.73	\$29.57	\$29.57
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	01	30.00%	\$0.00	\$0.00	\$8,013.74	\$8,013.74	\$2,404.12	\$2,404.12
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	01	30.00%	\$0.00	\$0.00	\$1,981.24	\$1,981.24	\$594.37	\$594.37
	777 00682	MILFORD HOSPITAL PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	\$1,500.00	\$1,500.00
	777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$698.18	\$698.18	\$209.45	\$209.45
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$361.04	\$361.04	\$108.31	\$108.31

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-2 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 2) to Diccianni Affidavit Pg 47 of 55

Month End : Jan-2007

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
777 00926		MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,081.70	\$1,081.70	\$324.51	\$324.51
777 20014		F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$339.62	\$339.62	\$33.96	\$33.96
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$340.97	\$340.97	\$34.10	\$34.10
777 53485		FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$358.08	\$358.08	\$35.81	\$35.81
Reg Rep Total:						\$0.00	\$0.00	\$52,030.13	\$52,030.13	\$9,173.53	\$9,173.53
390 STEIN MICHELLE NY											
777 00362		JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$302.10	\$302.10	\$30.21	\$30.21
777 00367		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$304.64	\$304.64	\$30.46	\$30.46
777 00414		CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$418.70	\$418.70	\$41.87	\$41.87
777 00445		BARBARA R GOODMAN MARITAL TR	04/05/2005	99	10.00%	\$0.00	\$0.00	\$99.06	\$99.06	\$9.91	\$9.91
777 00446		BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$427.28	\$427.28	\$42.73	\$42.73
777 00470		AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$597.26	\$597.26	\$59.73	\$59.73
777 00563		JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,111.33	\$1,111.33	\$111.13	\$111.13
777 00580		JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$583.49	\$583.49	\$58.35	\$58.35
777 00645		MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$292.20	\$292.20	\$29.22	\$29.22
777 00646		MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$798.48	\$798.48	\$79.85	\$79.85
777 01184		JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$630.27	\$630.27	\$189.08	\$189.08
777 01184		JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$531.35	\$531.35	\$159.40	\$159.40
777 20015		F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$364.73	\$364.73	\$36.47	\$36.47
777 20016		PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$564.50	\$564.50	\$56.45	\$56.45
777 53486		FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$404.43	\$404.43	\$40.44	\$40.44
Reg Rep Total:						\$0.00	\$0.00	\$7,429.82	\$7,429.82	\$975.30	\$975.30

Compensation Report

NEUBERGER BERMAN

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Exhibit

Month End : Jan-2007

Marketer Name	Reg Customer Account Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
881 M KAMINSKY / NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$416.77	\$416.77	\$41.68	\$41.68
Reg Rep Total:											
						\$0.00	\$0.00	\$416.77	\$416.77	\$41.68	\$41.68
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$681.66	\$681.66	\$204.50	\$204.50
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$405.87	\$405.87	\$121.76	\$121.76
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$134.14	\$134.14	\$40.24	\$40.24
Reg Rep Total:											
						\$0.00	\$0.00	\$1,221.67	\$1,221.67	\$366.50	\$366.50
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$16,182.26	\$16,182.26	\$1,618.23	\$1,618.23
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	01	30.00%	\$0.00	\$0.00	\$3,999.84	\$3,999.84	\$1,199.95	\$1,199.95
Reg Rep Total:											
						\$0.00	\$0.00	\$20,182.10	\$20,182.10	\$2,818.18	\$2,818.18
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$359.84	\$359.84	\$35.98	\$35.98
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,300.73	\$2,300.73	\$230.07	\$230.07
Reg Rep Total:											
						\$0.00	\$0.00	\$2,660.57	\$2,660.57	\$266.05	\$266.05
Marketer Total:											
						\$0.00	\$0.00	\$121,223.55	\$121,223.55	\$19,935.60	\$19,935.60

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
February-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION **25,164.87**

TOTAL **25,164.87**

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B **23,411.43**
RSU - C N 5 **1,753.44**

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
Diccianni, Charles Total		5,266.33

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
March-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	67,356.51
Reversal of January Compensation	(19,935.60)
Reversal of February Compensation	(25,164.87)

TOTAL

22,256.04

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	20,877.04
RSU - C N 5	1,379.00

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
Diccianni, Charles Total		6,645.33

Compensation Report

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Month End : Mar-2007

Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
288 APPEL NEW YORK										
777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,108.71	\$1,108.71	\$110.87	\$110.87
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,107.21	\$1,107.21	\$110.72	\$110.72
777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$30,051.51	\$30,051.51	\$3,005.15	\$3,005.15
777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$7,429.66	\$7,429.66	\$742.97	\$742.97
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$2,618.15	\$2,618.15	\$785.44	\$785.44
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,353.90	\$1,353.90	\$406.17	\$406.17
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$4,056.35	\$4,056.35	\$1,216.90	\$1,216.90
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$1,273.58	\$1,273.58	\$127.36	\$127.36
777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$1,278.63	\$1,278.63	\$127.86	\$127.86
777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$1,342.79	\$1,342.79	\$134.28	\$134.28
Reg Rep Total:					\$0.00	\$0.00	\$236,147.22	\$236,147.22	\$33,590.53	\$33,590.53
390 STEIN MICHELLE NY										
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$1,131.85	\$1,131.85	\$113.19	\$113.19
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,141.16	\$1,141.16	\$114.12	\$114.12
777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,568.24	\$1,568.24	\$156.82	\$156.82

Compensation Report**NEUBERGER BERMAN**

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Month End : Mar-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 STEIN MICHELLE NY											
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$371.50	\$371.50	\$37.15	\$37.15
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$1,603.12	\$1,603.12	\$160.31	\$160.31
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$2,237.49	\$2,237.49	\$223.75	\$223.75
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$4,165.55	\$4,165.55	\$416.56	\$416.56
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$2,185.76	\$2,185.76	\$218.58	\$218.58
	777 00845	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00845	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,096.89	\$1,096.89	\$109.69	\$109.69
	777 00846	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00846	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$2,997.54	\$2,997.54	\$299.75	\$299.75
	777 01184	JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$1,992.58	\$1,992.58	\$597.77	\$597.77
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$1,367.72	\$1,367.72	\$136.77	\$136.77
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$2,116.89	\$2,116.89	\$211.69	\$211.69
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$1,516.62	\$1,516.62	\$151.66	\$151.66
Reg Rep Total:						\$0.00	\$0.00	\$25,492.91	\$25,492.91	\$2,947.81	\$2,947.81
881 M KAMINSKY /NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$1,560.61	\$1,560.61	\$156.06	\$156.06
Reg Rep Total:						\$0.00	\$0.00	\$1,560.61	\$1,560.61	\$156.06	\$156.06
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$2,556.21	\$2,556.21	\$766.86	\$766.86

Compensation Report

NEUBERGER BERMAN

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Month End : Mar-2007

Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
A12 JEFFREY BOLTON										
550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$1,522.01	\$1,522.01	\$456.60	\$456.60
550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$503.00	\$503.00	\$150.90	\$150.90
Reg Rep Total:					\$0.00	\$0.00	\$4,581.22	\$4,581.22	\$1,374.36	\$1,374.36
B73 INTERNATIONAL EQUITY / NEW YORK										
777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$60,683.63	\$60,683.63	\$6,068.36	\$6,068.36
777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$14,986.45	\$14,986.45	\$1,498.65	\$1,498.65
Reg Rep Total:					\$0.00	\$0.00	\$75,670.08	\$75,670.08	\$7,567.01	\$7,567.01
I93 PAMAS MUTUAL FUNDS / NEW YORK										
777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$1,004.51)	(\$1,004.51)	(\$100.45)	(\$100.45)
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$6,422.28)	(\$6,422.28)	(\$642.23)	(\$642.23)
Reg Rep Total:					\$0.00	\$0.00	(\$7,426.79)	(\$7,426.79)	(\$742.68)	(\$742.68)
Marketer Total:					\$0.00	\$0.00	\$475,560.05	\$475,560.05	\$67,356.50	\$67,356.50